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IN THE

SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1969

No. _____

DAVID GOLDWASSER,

Petitioner,

v.

ROBERT C. SEAMANS, JR., Secretary of the Air Force; ROBERT E. HAMPTON, Chairman, Civil Service Commission; JAMES E. JOHNSON, Member, Civil Service Commission; L. J. ANDOLSEK, Member, Civil Service Commission; UNITED STATES ATTORNEY FOR THE DISTRICT OF COLUMBIA; ATTORNEY GENERAL OF THE UNITED STATES and UNITED STATES OF AMERICA,

Respondents.

PETITION FOR WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

Petitioner prays that a writ of certiorari issue to review the judgment of the United States Court of Appeals for the District of Columbia, entered in the above-entitled case on September 17, 1969.

OPINIONS BELOW

The opinion of the Court of Appeals has not yet been officially reported but is set out in Appendix A, *sub nomine*

Goldwasser v. Brown et al.[1] There was no opinion in the District Court.

JURISDICTION

The judgment of the Court of Appeals was entered on September 17, 1969. Jurisdiction is conferred on this Court by 28 U.S.C. § 1254(1).

QUESTIONS PRESENTED

Petitioner was discharged by the Air Force from his position as a civilian English language instructor for foreign military officers, for allegedly discussing on two occasions in his class "controversial subjects", which conduct was considered "prejudicial to the interests of the United States". The issues presented in this case are:

1. Whether the refusal by the Air Force to take good faith steps, after being requested to do so by petitioner, to make available as witnesses the foreign military officers in his class who were as a practical matter under the control of the Air Force and whose testimony was known to be material to the case constituted a denial of due process and was *contra* to this Court's decision in *Williams v. Zuckert, cert. dismissed*, 371 U.S. 531 (1963), *on rehearing*, 372 U.S. 765 (1963).

2. Whether the due process clause of the Fifth Amendment to the United States Constitution bars enforcement of a vague policy prohibiting a teacher's discussion of "controversial subjects" in class.

3. Whether discharge of petitioner for "conduct prejudicial to the interests of the United States"–to wit, discussing "controversial subjects" in class–violates the freedom of speech clause of the First Amendment to the United States Constitution.

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[1] This opinion will be cited as App. A.

4. Whether the Air Force may discharge petitioner for allegedly making statements "prejudicial to the interests of the United States" absent any evidence that such statements in any way affected the "efficiency of the service."

CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

1. The First Amendment to the United States Constitution provides, "Congress shall make no law . . . abridging the freedom of speech or of the press . . ."

2. The Fifth Amendment to the United States Constitution provides, "No person shall be deprived of life, liberty or property without due process of law."

3. Section 6 of the Civil Service Act, as amended, 37 Stat. 555 (1912), 5 U.S.C. § 652(a) (1964), provides, "No person in the classified civil service of the United States shall be removed or suspended without pay therefrom except for such cause as will promote the efficiency of such service. ..."

STATEMENT OF THE CASE

Petitioner was a career civilian employee of the United States Air Force with thirteen years service, employed as an English language instructor for foreign military officers at the Lackland Air Force Base, Texas (J.A. 18, 76).[2] From 1958 until his dismissal in 1966, a "Master's Instructor Certificate" and "good" to "excellent" performance ratings attested to his professional qualifications (J.A. 131, 98).

In October, 1965, he requested relief from an assignment to Vietnam on the grounds of serious illness in his immedi-

[2]The abbreviation "J.A." refers to the Joint Appendix printed for the use of the court below and filed with this Court.

ate family (J.A. 135). The assignment was cancelled only after the intercession of a United States Congressman (J.A. 181).

Shortly thereafter, on January 10, 1966, petitioner received notification that his superior, Mr. Cortez Parks, proposed to remove him from his position with the United States Air Force "for conduct prejudicial to the interests of the United States." The grounds for the proposed action were two "controversial" statements allegedly made by petitioner to a class of foreign military officers. Specifically, the dismissal notification alleged that petitioner told his class on November 25, 1965

> "You grew up in the slums of New York and had to overcome discrimination against the Jews and you stated that your people, the Jews, are discriminated against in America and that you had been discriminated against most of your life and even here in the Language School . . ."

and on December 2, 1965,

> "[Y]ou brought up the subject of individuals who set themselves on fire and you told your class substantially as follows: Those wonderful people who had the courage and nerve to burn themselves to death in protest of the wrong that our Government was doing against those poor, helpless people in Vietnam by fighting the terrible war against them, should be honored as the real American heroes. You stated that you wish you had enough 'guts' to do the same thing against the present Administration's policy in the war in Vietnam. . ." (J.A. 60).

Petitioner denied making either of the statements (J.A. 61). Nevertheless he was notified by Mr. Parks of his decision to remove him (J.A. 63). Petitioner then appealed to the Civil Service Commission, Dallas Region, where a *de novo* hearing was held (J.A. 120).

The evidence against petitioner was in affidavit form (Attachments 8-14, J.A. 86-72). It consisted solely of statements by Parks and four other Language School employees, all of whom were directly responsible to Parks, and a report by a Civil Service Commission investigator. The Language School personnel either stated that they had overheard the alleged statements by petitioner or had overheard warnings or admonitions given to him by Parks concerning these statements. The Air Force offered no evidence from any of the members of petitioner's class that the statements attributed to him had in fact been made. No evidence was offered that the statements allegedly made by petitioner created any controversy between petitioner and his students or even among the students themselves. Finally, no evidence was offered which cast doubt on petitioner's performance of his assigned duties as a language instructor (Summary of Hearing, J.A. 120).

In his own behalf, petitioner testified that he had not made the statements attributed to him. He pointed out that he was born in Massachusetts, rather than New York as he was alleged to have told his class (J.A. 125). Further, he and other witnesses he produced testified that he was a religious man and that his religion clearly forbids suicide and the destruction of the body for which he purportedly expressed admiration (J.A. 122, 127). In addition, petitioner produced witnesses who testified to his good reputation for truth and veracity as well as to Mr. Parks' poor reputation in this regard (J.A. 122-25).

Because the testimony of the foreign military officers in his class would be most material in resolving the factual dispute as to whether the statements were made, petitioner, in his reply to the charges on January 14, 1966, well in advance of the hearing date, requested that the Air Force make available certain of those officers to testify at the Commission hearing (J.A. 62).

Similarly, on January 26, 1966, in a letter to Mr. Parks, he reiterated this request, naming several more students he

wished to have called (J.A. 65). The request was also made to the Civil Service Commission Regional Office in petitioner's appeal letter of February 21, 1966 (J.A. 69). In addition, by letter to the Appeals Examiner dated March 4, 1966, petitioner's counsel specifically asked that Civil Service Commission investigators, who would be in a better position than an interested party to obtain a full, complete and impartial story from the foreign students, interview all of the students named (J.A. 93).

Both the Air Force and the Civil Service Commission declined to fulfill petitioner's request. The Air Force Civilian Personnel Officer at Lackland stated that the foreign students were "guests of the United States government and not under the military jurisdiction of the United States Air Force" and, therefore, the Air Force was "in no position to take any action on your request" (J.A. 75).

The Civil Service Commission investigator did contact the liaison officers for the foreign officer groups involved, and informed them that members of their respective groups could volunteer to appear if they wished. However, as noted in the investigator's report, the liaison officers "were definitely not in favor of the proposal. They indicated that they had been instructed not to get involved in United States matters" (J.A. 95). The investigator reported that there were no volunteers to testify, although he did state that one member of the class had no recollection of petitioner making any of the statements. There was also evidence that the Air Force had discouraged these students from testifying (J.A. 95, 145).

The Appeals Examiner sustained the discharge and in addition stated, "This finding takes into consideration the fact we believe the agency's action was for such cause as will improve the efficiency of the service" (J.A. 159).

The decision of the Dallas Regional Office of the Civil Service Commission was sustained by the U.S. Civil Service Commission Board of Appeals and Review (J.A. 183).

Having exhausted his administrative remedies, petitioner filed suit for reinstatement and back pay in the U.S. District Court for the District of Columbia (J.A. 5). The District Court sustained the discharge on cross-motions for summary judgment. An appeal to the Court of Appeals for the District of Columbia was decided adversely to petitioner on September 17, 1969, in a 2-1 decision (App. A). Chief Judge David Bazelon dissented (App. A 14).

REASONS FOR GRANTING THE WRIT

A. **Certiorari should be granted to determine whether the Air Force refusal, after a request by petitioner, to take good faith steps to make available as witnesses foreign military officers who were as a practical matter under its control and whose testimony was known to be material constituted a denial of the due process clause of the Fifth Amendment and was *contra* the decision in *Williams v. Zuckert, supra.***

It is clear beyond question that where protected rights are involved, due process requires the government to observe recognized standards of fair play in its hearing procedures. *Vitarelli v. Seaton*, 359 U.S. 535 (1959); *Greene v. McElroy*, 360 U.S. 474 (1959). Assuming for the moment that the Air Force could, consistently with the First Amendment, dismiss petitioner from his position for discussing "controversial subjects" in class, the question still remains whether the Air Force acted in a manner which denied petitioner a fair hearing on the issues involved in his dismissal.

In the hearing, the central issue was whether the statements alleged were in fact made. The testimony of the foreign students in the class was essential to petitioner's ability to clear himself of the charges against him. The government has argued that the Air Force had no means to compel these witnesses to appear and that, lacking this authority, had no obligation to do more. The majority

opinion in the Court of Appeals suggests that the only obligation of the Air Force was to refrain from being "uncooperative and indeed aggressively hostile" in responding to efforts to secure testimony from persons under its control (App. A 7).

This is a misreading of *Williams v. Zuckert, supra.* The Court in that case took the view that, had petitioner made a timely attempt to obtain the attendance of the witnesses and through no fault of his own had failed, the Air Force would have been required to produce witnesses who were readily available and over whom they exercised control. Petitioner in the present case, in making his request to the Air Force, did not ask the Air Force to compel the attendance of the foreign students. However, recognizing that the Air Force as a practical matter had a significant degree of control and influence over the witnesses, the petitioner sought only what the *Williams* case makes clear that he is entitled to ask of the Air Force, that it make at least a good faith effort to produce witnesses sufficiently under its control to affect their ability to be present at the hearing.

It is clear that the Air Force made no such effort. It refused to comply with petitioner's request, solely on the ground that these foreign military officers were "guests" of the United States and were not subject to military jurisdiction. These students, nevertheless, were subject to Air Force orders with respect to how they spent their time while attending the Air Force Language School. More importantly, as guests in a military installation of the United States, these foreign students and their liaison officers would be peculiarly sensitive to the attitude of their military hosts. At least one student testified that the Air Force discouraged the students from testifying. The report of the Civil Service Commission investigator, quoted in the majority opinion of the court below, states that two of the liaison officers who were supposed to contact the needed witnesses were definitely not in favor of the other officers testifying and

indicated that "they had been instructed not to get involved in United States matters. They said they felt that this was a local matter which should be handled by the Language School." (App. A 6 N. 3). There is no evidence that the Air Force acted to dispel these impressions.

Compliance with the standard set by *Williams* requires more than this. Here where the testimony was central to the petitioner's defense, it requires active steps to encourage the foreign students to testify. It requires clear communication by the Air Force that not only would such testimony not be inconsistent with their status as guests in the United States, but that it would be welcomed in an effort to insure that petitioner received a fair hearing.

If the majority opinion in the court below is allowed to stand, the circumstances set forth in *Williams* under which the government is obligated to produce witnesses will be left quite unclear. This will be an open invitation to government officials to make it difficult if not impossible for employees in discharge cases to defend themselves effectively.

It is no answer to argue that the regulations of the Civil Service Commission place the responsibility for securing the attendance of these witnesses on the petitioner himself. These regulations recognize that a fair hearing requires that an agency produce witnesses subject to its direction when requested to do so, 5 CFR § 772.305(c). It is clear from the record that direct efforts by the petitioner would not have been successful. Recognizing this, the petitioner unsuccessfully sought the aid of the Air Force and the Civil Service Commission. Only a clear statement that the Air Force had no objection to their testimony could have convinced the students to testify.

One distinction between this case and *Williams* should be pointed out. In *Williams* the accused sought to obtain the presence of hostile witnesses so they could be cross-examined. In this case, petitioner sought assistance in producing witnesses for the defense. An opportunity to present

one's own witnesses is as essential to a fair hearing as the opportunity to cross examine adverse witnesses. *Washington v. Texas*, 388 U.S. 14 (1967). Where these foreign students were the only direct witnesses to the central factual issue in petitioner's dismissal, the ability to secure their attendance was not only important but absolutely necessary.

B. Certiorari should be granted to determine whether the discharge of petitioner under a vague, unwritten policy against discussing "controversial subjects" in class is overbroad and void for vagueness under the First and Fifth Amendments.

It is fundamental that "a law forbidding or requiring conduct in terms so vague that men of common intelligence must necessarily guess at its meaning and differ as to its application violates due process of law." *Baggett v. Bullitt*, 377 U.S. 360, 367 (1964); *Cramp v. Board of Public Instruction*, 368 U.S. 278, 287 (1961). This Court has clearly underlined the tremendous "chilling effect" of this kind of vague and uncertain rule on the exercise of First Amendment freedoms. *Keyishian v. Board of Regents*, 385 U.S. 589 (1966).

The vice of vagueness is irremediably present in the standard which the Air Force applied to petitioner. No rule, regulation or established and publicized policy of the Air Force or the Language School forbids the discussion of "controversial subjects" in class. The Air Force produced no evidence to show that anyone other than petitioner's immediate supervisor had ever promulgated, enforced, or even been aware of such a rule. There is no evidence that previous warnings issued to petitioner gave him any indication as to the meaning of the prohibition.

Petitioner could only guess as to what subjects constituted prohibited "controversial subjects" or what constituted a "discussion" of them. Must a "controversial subject" lead to controversy? Would it have been controversial if petitioner had expressed approval of United States policy in

Vietnam? Would petitioner have been fired for answering a direct question?

The only clue the Air Force has offered as to the definition of the "controversial subjects" prohibition was given to petitioner only at the time he was notified of the charges against him and in any case sheds no light on the meaning of the phrase. The Air Force informed petitioner that his conduct was deemed "prejudicial to the interests of the United States government." Neither of these standards–"prejudicial to the interest of the United States" or "controversial subjects"–is sufficiently definite to enable petitioner to know with any accuracy the subjects he might discuss or the circumstances under which he might discuss them. It is this uncertainty which violates the First and Fifth Amendments to the United States Constitution.

As Judge Bazelon noted in his dissent, petitioner raised these questions in a timely manner before the Civil Service Commission Appeal Examiner and the Board of Appeals and Review (App. A 16).

C. Certiorari should be granted to determine whether discharge of the petitioner for "conduct prejudicial to the interests of the United States"–to wit, discussing "controversial subjects" in class–violates the freedom of speech clause of the First Amendment.

Even if the order forbidding the discussion of controversial subjects in class was sufficiently clear to provide petitioner due process of law, he could still not constitutionally be dismissed for violating that order.

The government may only regulate the First Amendment rights of public employees when there is justification other than the mere fact of public employment. *Keyishian v. Board of Regents*, 385 U.S. 589, 605 (1966); *Wieman*

v. Updegraff, 344 U.S. 183 (1952). The court below and all the parties have recognized that the essential principle of *Pickering v. Illinois*, 391 U.S. 563 (1968) governs here:

> "The public employer's interest in the efficient dispatch of its business by no means invariably overrides the employee's interest in saying what he thinks. Where there is tension between the two, accommodation must be sought in the balancing process which not infrequently characterizes the task of constitutional interpretation." (App. A 11-12)

The court below has, however, held that the balance in this case favored the government.

But what justification does the Air Force offer to support its denial of petitioner's First Amendment rights? The government claims this action was necessary to promote the efficiency of the Civil Service and the Air Force Language School. There was concern lest petitioner foment controversy in the classroom and between foreign military officers and the United States government. But absolutely no evidence was introduced at the hearing to demonstrate that petitioner's remarks had had these effects.

Petitioner concedes that the presence of foreign students in his class presents "special problems affecting the national interest in harmonious international relations" referred to by the court below (App. A 13). However, these special factors do not require that controversy involving policies and problems of the United States must be completely avoided. It is highly unlikely that adult foreign military officers, sent here for technical training, would be so unsophisticated as to mistake the view of their civilian English teacher for official United States government policy. Moreover, there is no danger here that the students lacked exposure to a wide range of opinion on the other side, through conversation with others, newspapers, television and radio. The government itself has recognized that the mission of the Language School involves much more than providing English language training. ". . . [E]qually

important . . . is to provide these foreign students orientation as to the culture and customs of the United States, covering significant areas of American life, with a view to their obtaining a *balanced understanding and appreciation of American society, institutions and ideals.* [Emphasis added] (J.A. 18) Nothing could be more patently inconsistent with American society, institutions and ideas than a suppression of discussion of "controversial" subjects between students and teachers. *Tinker v. Des Moines Independent Community School Board*, 393 U.S. 503 (1969); *Epperson v. Arkansas*, 393 U.S. 97 (1968). Even more fundamental, exposure to petitioner's statements, which do not reflect official government opinion, but are nevertheless presented in an official government setting, serves as a concrete example of the respect for the freedom to dissent which is fundamental to the American system.

The Air Force has failed to demonstrate any interest which was of such overriding concern and in any real danger of actually being adversely affected as to justify a limitation on petitioner's right to speak freely in his classroom which, as this Court has recognized, is "peculiarly the market place of ideas." *Keyishian v. Board of Regents*, 385 U.S. 589, 603 (1966).

D. Certiorari should be granted to determine whether petitioner can be validly dismissed for allegedly making statements "prejudicial to the interests of the United States" absent any evidence that such statements affected in any way the "efficiency of the service."

Section 6 of the Civil Service Act, as amended, 37 Stat. 555 (1912), 5 U.S.C. § 652(a) (1964), provides

> "No person in the classified civil service of the United States shall be removed or suspended without pay therefrom except for such cause as will promote the efficiency of such service."

Neither the Air Force nor the Civil Service Commission examined whether petitioner's alleged statements constituted sufficient grounds for his discharge under this statutory standard. The court below has approved the procedure used in this case, despite the total absence of any requirement for a specific showing that dismissal would promote the efficiency of the service. Permitting this approval to stand would render meaningless the important protection which this statutory provision affords to all classified civil servants from the arbitrary exercise of the executive branch's removal power. Its impact is not limited to those employed by the military services as English language instructors. The potential danger to all civil servants requires a rejection of these procedures by this Court.

Other federal statutes, similarly using "efficiency of the service" as a criterion for appointment and dismissal, have been interpreted to require the Civil Service Commission to state with definiteness the manner in which the conduct complained of related to that criterion and the reasons for that conclusion. *Scott v. Macy*, 349 F.2d 182 (D.C. Cir. 1965); *Norden v. Royal*, 90 F. Supp. 834 (D.D.C. 1949). There is no justification for requiring less of the government when it seeks to dismiss an employee under the statute involved here.

The majority opinion in the court below recognized that "perhaps . . . neither the Appeals Examiner nor the Board of Appeals and Review addressed themselves in detail to this matter" (App. A 9 n. 4). The court assigns the cause for this failure to the fact that petitioner did not regard this as an issue at the hearing. However, as Chief Judge Bazelon notes, the petitioner "continuously urged that his conduct would not constitute sufficient grounds for removal even if proved" (App. A 16). In light of the fact that the petioner did in fact raise these matters at the hearing and that no evidence was presented on the relationship between the alleged statements and the efficiency of the service, the finding by the court below that "there is sufficient evidence

of substance" to support the Commission's decision cannot be sustained (App. A 10 n. 4). This Court should make it clear to the government that the power to dismiss civil servants "for cause" where it "promotes the efficiency of the service" must be exercised on the basis of evidence rather than mere assertion.

CONCLUSION

By reason of the foregoing, the petition for the writ of certiorari should be granted.

Respectfully submitted,

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APPENDIX A

United States Court of Appeals

FOR THE DISTRICT OF COLUMBIA CIRCUIT

No. 22,253

DAVID GOLDWASSER,
Appellant

v.

HAROLD BROWN,
Secretary of the Air Force, et al.

Appeal from the United States District Court
for the District of Columbia

Decided September 17, 1969

Mr. Lawrence Speiser, with whom *Mr. M. Michael Cramer* was on the brief, for appellant.

Mr. Gil Zimmerman, Assistant United States Attorney, with whom *Messrs. David G. Bress*, United States Attorney at the time the brief was filed, and *Frank Q. Nebeker*, Assistant United States Attorney at the time the brief was filed, were on the brief, for appellees. *Messrs. John C. Eldridge*, *Norman Knopf* and *Robert V. Zener*, Attorneys, Department of Justice, also entered appearances for appellees.

Before BAZELON, *Chief Judge*, WILBUR K. MILLER, *Senior Circuit Judge*, and MCGOWAN, *Circuit Judge*.

MCGOWAN, *Circuit Judge*: This federal employee discharge case was heard by the District Court on cross-motions for summary judgment, and this appeal is from a decision adverse to the employee. The evidentiary record before the court was the administrative record compiled in the pro-

ceedings before the Civil Service Commission following upon the appeal to that agency of appellant's discharge by the Air Force.[1] A number of procedural errors are asserted as rendering the Commission's action defective. In addition, it is said that to terminate appellant's employment for the reason given is to trench upon rights protected by the Constitution, especially the First Amendment. We find none of these contentions to be compelling, and we affirm the District Court.

I

Appellant was a civilian employee of the Air Force who served as a language instructor in the Air Force Language School at Lackland Air Force Base, Texas. His job was to teach basic English to foreign military officers in this country as guests of the U. S. Government. The charge against him was that, in the face of prior warnings that discussion of controversial subjects (*i.e.*, religion, politics, race) during the class hours was contrary to Air Force policy, he made such forbidden statements on two separate occasions to his classes. One was to the effect that those who burn themselves to death as a protest against the Viet Nam War are the true heroes, and he wished he had the courage to do it himself. The other was that Jews are discriminated against in America, and that he had felt such discrimination throughout his life, including his service at the Language School.

The Chief of the Language School regarded this conduct on the part of appellant, after having been repeatedly warned not to engage in it, as prejudicial to the interests of the United States Government, and this was the stated ground in the notice of discharge given to appellant. The

[1] We have adverted before to the need for legislative reexamination of the situation which presently obtains with respect to judicial review of Civil Service Commission determinations. There appears to be no reason why two courts should be required to review, by reference solely to the administrative record, the Commission's determinations. *See* Connelly v. Nitze, ___U.S.App.D.C.___, 401 F.2d 416, 417 n.1 (1968).

ultimate issue resides in the statutory formulation that "[N]o person in the classified civil service of the United States shall be removed or suspended . . . except for such cause as will promote the efficiency of such service . . ." 5 U.S.C. § 652(a) (now 5 U.S.C. § 7501, recodified with minor variations in wording).

A hearing on appellant's appeal to the Civil Service Commission was held by an Appeals Examiner of the Dallas Regional Office. The position taken by appellant was that he had not made the two statements attributed to him and that he was, therefore, innocent of the charges against him. He represented, according to the Appeals Examiner, that he could not have made the statements because (1) his personal views on Viet Nam did not differ from those of the U. S. Government, (2) he had not experienced racial discrimination, and (3) the alleged statements were alien to any possible discussion of the lesson plan for the days in question.[2]

The record before the Appeals Examiner included affidavits from Air Force personnel, a report by a Civil Service Commission investigator, and oral testimony by appellant and other witnesses on his behalf. The Appeals Examiner concluded that the evidence supported the charges against

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[2]In the written argument submitted on appellant's behalf to the Appeals Examiner, this statement appeared:

> We will have to concede initially that, just as a matter of good common horse sense, instructors in his position teaching foreign students should do their utmost to avoid controversial subjects, and there is no question but that he had been counseled to avoid controversial subjects. For instance, it is simply a matter of good common horse sense for Mr. Goldwasser, with his Yiddish background, to avoid engaging in head-on arguments with Arab students over the Arab-Israel problem.

Appellant's essential position throughout the Commission proceedings was that the Air Force was out to get him because he had resisted an overseas assignment—an assignment which was cancelled after appellant's Congressman had intervened on his behalf. Thus, appellant attacked the charges against him as fabrications masking the true reason for discharge, and not as improper grounds for discharge if true.

appellant and that he had in fact made the statements in question after having been warned to refrain from such conduct.

The Commission's Board of Appeals and Review sustained this finding as against a challenge that it was contrary to the weight of the evidence, and it went on to find that the Air Force had not been "arbitrary, capricious, or unreasonable, and that [appellant's discharge] was for such cause as will promote the efficiency of the service." The Commission adopted the decision of its Board of Appeals and Review and denied appellant's request for reopening and reconsideration.

II

We turn first to appellant's claims of procedural inadequacies in the Commission proceedings. The principal one of these is that a fair hearing was denied appellant because the Air Force failed to produce certain witnesses pursuant to appellant's request. These witnesses were said to be under the Air Force's control and direction, and that the failure to produce them at the hearing was a conscious effort to impede appellant's presentation of his defense.

The witnesses in question were the foreign officers in the classes to which appellant allegedly made the offending statements. The record shows that on January 14, 1966, just after the Language School gave appellant preliminary written notice of its proposed dismissal action and of his opportunity to answer the charges upon which such dismissal would be based, appellant's retained counsel responded in a letter denying the charges and purporting to give notice that, if the Air Force persisted in appellant's removal, appellant would require the personal presence of the foreign students at the Commission hearing and that he looked to the Air Force to assure that presence. After the final notice of dismissal was forthcoming a few days later, appellant's counsel wrote again, identifying some additional foreign students and stating the same expectation as to

their presence. The concern of these letters, however, was that the students might finish their visits and depart before the hearing, and it appeared to be counsel's purpose that he be notified of such departure in time for him to arrange to take depositions at appellant's expense.

The Air Force, on February 7, 1966, wrote appellant's counsel that the foreign students were in the country on invitational travel orders. As such, they were said to be in effect "guests of the United States Government" and not under its military jurisdiction; accordingly, the Air Force was "in no position to take any action on your request" that the students be produced at the hearing. The letter went on as follows:

> The students will be here until May and it is entirely discretionary with them as to whether they would make statements, appear as witnesses or take any part in the matter in question. You are at liberty, however, to communicate with them directly should you so desire. The appropriate method would be to address your communication to the Liaison Officer or Senior Officer of the respective national group. For the Iranian students, the Liaison Officer is Captain Mehdi Mirhosseini, 118 Surfrider, San Antonio, Texas; for the Greek students, the Senior Officer is Captain John Triantfillos, Greece - AF, CHR # 2, Lackland AFB Tex; and the Senior Officer for the Japanese students is Major Junkichi Imata, Japan - AF, CMR # 2, Lackland AFB Tex. They will in turn relay your request to the students in question and will, I am sure, advise you of what action you may expect from them.

Instead of approaching the students directly, however, appellant's counsel appeared to conclude that, with the appeal to the Commission filed, he would prefer to have the Commission do so. On March 4, 1966, he wrote the Appeals Examiner requesting that the Commission's investigator interview the students. The investigator was instructed to do so, and the result of his efforts in this regard is

described in the portion of his report set forth in the margin.[3]

At the hearing, appellant objected to the failure of the Air Force to have the students present as witnesses. The Appeals Examiner pointed out that appellant had been notified that the Commission had no subpoena power and that it was appellant's responsibility to arrange for the appearance of witnesses. He directed that the hearing

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[3] Briefing instructions indicated the Investigator should obtain affidavits from ten former students of the Appellant. Five of these students were from Iran, four from Greece and one from Japan. Foreign students at the Air Force Language School, Lackland Air Force Base, are guests of the U.S. Government and are not under the military jurisdiction of the U.S. Air Force. Each national group is represented by a Liaison Officer or a Senior Officer. A meeting was held with the Liaison Officer from Iran and the Senior Officers from Greece and Japan. The purpose of this investigation was explained to them and they were told that the only persons available to substantiate the contentions of the Appellant were the students under their jurisdiction, and that this information could be vital in making a decision on this case. They were told that any testimony volunteered would be appreciated, whether it be favorable or unfavorable to the Appellant, and that their respective students would not ever be subject to subpoena to be a witness in a court.

The Liaison Officer from Iran and the Senior Officer from Greece indicated they were definitely not in favor of the proposal. They indicated that they had been instructed not to get involved in United States' matters. They said they felt that this was a local matter which should be handled by the Language School. They did agree that they would contact the students, whose names were furnished by the Appellant, explain the matter to them and see if any of them desired to testify. They both reported back that each of their students, whose names were furnished, were contacted and that none of these desired to give * * * testimony or an affidavit.

Major Junkichi Imata, the Senior Officer from Japan, was one of the Appellant's former students, whose name was listed as having joined the class on December 2, 1965. Major Imata declined to take an oath or execute an affidavit. He merely stated that while he was in Appellant's class he had no recollection of the Appellant making any remarks concerning discrimination against Jews or any discussion concerning people who commit suicide by burning themselves. He stated that he was not familiar with the world "immolation."

proceed. Appellant himself testified as to conversations he had had with some of the students in which they stated that they did not remember his having made the remarks in question. On cross-examination, appellant said he had not asked any of the students for written statements "since he believed they would appear to testify on his behalf at the time of the hearing, but that he had not personally asked the students to appear at the time of the Commission's hearing since he understood from his Attorney that he did not have access to these individuals." The Air Force representative at the hearing stated that the Air Force had not at any time interviewed the students on this subject, and had no information as to their recollections.

The Appeals Examiner saw no procedural error in all this. He found as a fact that the students were not Air Force employees; that the Air Force had offered appellant full access to them; that appellant had talked to some of the students but he had not asked them to give statements or testify; and that the Air Force had not interviewed the students itself. To the Commission's Board of Appeals and Review, appellant asserted that there had been a deliberate concealment of evidence obtained by the Air Force in interviews with the students. The Board concluded that the record supported the Examiner's findings of fact to the contrary; and that there was, on this record and taking due account of the peculiar status of the foreign officer students, no failure by the Air Force to produce witnesses within its control amounting to a deprivation of due process of law.

We cannot say that the Commission erred in this view of the matter. The Commission regulations in being at the time of the hearing provided that, the Commission being without subpoena power, each side would arrange for the appearance of its witnesses. 5 C.F.R. § 772.305(c). It is true, of course, that there can be circumstances where the employing agency is so uncooperative and indeed aggressively hostile in responding to efforts to secure testimony from persons under its control as to make the proceeding

unacceptably unfair. *See Williams v. Zuckert*, 371 U.S. 531, *rehearing granted and case remanded for further proceedings*, 372 U.S. 765 (1963). But this record is far from showing such conduct as this. The Examiner found, rather, that the Air Force left the foreign students free to do as they wished about testifying at the hearing, and gave appellant full and complete access to them. Appellant failed to pursue that access systematically or effectively, and is in no position, whatever the precise relationship of the foreign students to the Air Force may have been, to claim unfairness amounting to a breach of the Constitution. *Cf. Bishop v. McKee*, 400 F.2d 87 (10th Cir. 1968); *Brown v. Zuckert*, 349 F.2d 461 (7th Cir. 1965), *cert. denied*, 382 U.S. 998 (1966); and *McTiernan v. Gronouski*, 337 F.2d 31 (2d Cir. 1964).

As a second procedural defect, appellant now urges that it was improper for the Air Force to present its testimony at the hearing entirely by affidavit. The Commission's regulations, however, expressly provided for this manner of proceeding, 5 C.F.R. § 772.304(b), and these do not appear to have been beyond the contemplation of the underlying statute. 5 U.S.C. § 7501. In any event, any concern appellant might have entertained on this score could have been dissipated by his own request that the affiants be produced for cross-examination—a privilege clearly available to him as a matter of law. 5 C.F.R. § 772.305(c). Having failed to manifest that concern at the hearing, we are not disposed to make its present assertion the occasion for overturning the Commission proceeding. Appellant was not denied a procedural right to which he was entitled. He rather must be taken to have decided, in the light of the nature of the affidavits and their compatibility with the report of the investigator, that it was not necessary to exercise it.

A third claim—made for the first time on this appeal—is that the Commission failed to afford appellant due process of law in that the Appeals Examiner had to reach his decision without the benefit of any clearly articulated standard for weighing the proof. We think that this contention comes

far too late to warrant its being made the occasion for *judicial* invalidation of *administrative* proceedings. The issue is one peculiarly appropriate for bringing to the attention of the administrative tribunal in the first instance in order that it may have the opportunity to consider, and to dispel if necessary, any infirmities which cause litigants before it to doubt the essential fairness of the hearing.

In any event, we are not convinced that appellant suffered any deprivation of constitutional proportions in this particular. The Appeals Examiner appears to have treated the case as one involving evidence looking in different directions, and that his job was to decide which side had made the more persuasive showing on the question of whether appellant had made the remarks in issue or whether he had not. The Board of Appeals and Review regarded this as the essential task placed upon the Examiner, and it thought the record amply supported the result he reached. Whatever the words used, or which might be used, in describing this process, appellant here received essentially what he was entitled to, namely, a chance to put his version of the facts before the Commission in competition with that supplied by the Air Force and to have the Commission decide whether the Air Force could fairly be taken to have supported its charges.[4]

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[4] Appellant argues in this court that, even if the requisite degree of procedural due process be deemed to have obtained in the conduct of the Commission proceeding, the finding that appellant made the statements in question does not justify any conclusion that his removal will, in the statutory phrase, "promote the efficiency" of the classified civil service. 5 U.S.C. § 7501. He asserts that the record is deficient in showing any adverse impact of appellant's conduct upon the interests of his employer. It is perhaps the case that neither the Appeals Examiner nor the Board of Appeals and Review addressed themselves in detail to this matter, but this appears to be due to the fact that appellant did not regard this as an issue at the hearing. His attorney's first letter in response to the proposed dismissal said that appellant emphatically claimed to be innocent of having made the alleged remarks which were characterized "as completely incongruous to any discussion" of the "lesson plans in both of these classes."

Appellant's final claim of procedural injury is derived from an Air Force regulation which provided that any charges more than three years old should not be considered in connection with any removal of an employee from service. Appellant points out that the letter first informing him of the proposed removal referred to earlier warnings,[5] two of which fell outside the three year limit. Despite that, says appellant, the Appeals Examiner referred to these two outlawed incidents, and must, therefore, have had them in mind, to appellant's prejudice, in making his decision.

Whatever weight the Appeals Examiner may have given these items, however, the Board of Appeals and Review was explicit in its statement that, in order to avoid any question under the regulation, it "had dismissed them from consideration in arriving at its decision;" and we think this is adequate to preclude the nullification of the Commission proceedings for any fear of prejudicial error on this score. Moreover, we share the Board's expressed doubt that there was any error on the part of the Appeals Examiner, prejudicial or otherwise. The reference to the earlier warnings was not to make them occasions for dismissal, but to show that appellant was not unaware of the Air Force's policy against the injection into classroom teaching of unrelated controversial subjects. The purpose of the regulation is to prevent

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Appellant made the same characterization in his testimony at the hearing. *See* Note 2 *supra*. His defense was that he had not said the things charged, not that they were harmless or that he was not on adequate notice of a policy against such remarks.

To the extent appellant urges upon us that we, in the exercise of judicial review, should set aside the Commission's action for want of sufficient proof, we look only to see "whether there is evidence of substance in [the] record which supports the Commission's view of the matter." Dabney v. Freeman, 123 U.S.App.D.C. 166, 358 F.2d 533 (1965). We find such evidence here.

[5] "You had been warned on numerous occasions, specifically on or about 6 December 1960, 14 March 1961, 17 November 1963 and 20 September 1964 that controversial subjects must not be discussed with foreign students in the classroom. Despite this you made the following statements to foreign students."

present discharge for past conduct of a proscribed vintage. Appellant's discharge was not for what he had done more than three years back, but because he was still doing the same thing despite the prior history of notice and warning.

III

In his judicial challenge to the propriety of his dismissal, appellant invokes substantive protections of the Constitution as well. He asserts that, even if it be assumed that he made the classroom statements attributed to him and under the circumstances alleged, he cannot be dismissed for that reason without infringement of his First Amendment right of free speech, and his Fifth Amendment right not to be subjected to a code of conduct which is unacceptably vague.

The latter contention is not one meriting detailed treatment in view of the concessions made by appellant at the administrative level. He did not there suggest that he was surprised by the view taken of his alleged conduct, or that he was confused as to what the Air Force standards of appropriate classroom conduct were. His denials that he said the things charged were repeatedly coupled with volunteered opinions that such conduct would, if it had occurred, have been improper. We are, thus, not impressed with his current claim of a constitutional deprivation which is rooted in the concept of the impenetrable mistiness of a governmental policy or prohibition.

The First Amendment issue deserves more sustained examination. Appellant and appellees join in identifying *Pickering v. Board of Education*, 391 U.S. 563 (1968), as the most relevant authority. Appellant urges that the view taken there by the Supreme Court of the right of a teacher to speak his mind without forfeiting his job has full and complete application here. The Government distinguishes *Pickering* on its facts, but recognizes the significance for this case of the principle there stated. That principle is stated in essence to be that the public employer's interest in the efficient despatch of its business by no means invariably overrides the employee's interest in saying what

he thinks. Where there is tension between the two, accommodation must be sought in the balancing process which not infrequently characterizes the task of constitutional interpretation.

The parties are, thus, in agreement upon the test to be applied but not upon its result. In *Pickering* the Supreme Court defined the clashing interests with some particularity. It recognized that public employment may properly encompass limitations upon speech that would not survive constitutional scrutiny if directed against a private citizen, although there is certainly no easy leap from this to the proposition that a public employee necessarily assumes monastic vows of silence when he looks to the taxpayer for his salary. The Government's interest as an employer is in heightening the level of the public services it renders by assuring the efficiency of its employees in the performance of their tasks; and efficiency comprehends the maintenance of discipline, the prevalence of harmony among co-workers, and the elimination of conduct which may reasonably be thought to have "impeded" the proper performance by a teacher of "his daily duties in the classroom." Conversely, the free speech interest of the teacher is to have his say on any and every thing about which he has feelings, provided there is no significant likelihood of impairment of his efficiency.

What are the weights to be placed in the scale in the case at hand? Preliminarily we note that the public school teacher in *Pickering* was not fired for what he said *in* class, but for writing a letter to a newspaper critical of the policies espoused by the School Board and School Superintendent in the allocation of school funds as between different educational programs. The efficiency with which Pickering taught geography or algebra to the pupils immediately in front of him was not affected by his extracurricular expressions.

The Air Force's case against appellant largely rests, however, upon the fact that he was supposed to be giving foreign officers a quick training in basic English, and that

efficient utilization of the short time involved was of critical importance. Furthermore, appellant was not teaching the foreign officers current events, political science, sociology, or international relations. This is plainly evident from the record's disclosure that the Lesson Plan appellant was supposed to follow at the times in question called for language instruction on the subjects "At the Dentist" and "How to Test a Used Car." Appellant's observations on Viet Nam and anti-semitism would appear to have, at best, minimal relevance to the immediate classroom objectives.

We would also be blinking reality if we did not recognize that a class of foreign military officers at an Air Force installation on invitational orders presents special problems affecting the national interest in harmonious international relations. We are certainly not equipped to second-guess the agency judgment that the instructional goals of the Air Force program would be jeopardized by the teacher's volunteering his views on subjects of potential explosiveness in a multi-cultural group.

On the record before us, we must assume that appellant was fired for what he said *within* the classroom to foreign officers who were supposed to be learning how to cope with an English-speaking dentist or garage repairman, and not for airing his views outside the classroom to anyone who would listen. There is nothing to suggest that appellant was required to keep his opinions to himself at all times or under all circumstances, but only in the immediate context of his highly specialized teaching assignment—and we stress the uniqueness of appellant's teaching function in our disposition of this point. In view of that uniqueness, we cannot say that any of the interests underlying the First Amendment were served by appellant's insistence upon intruding his personal views into the classroom, or that his employer was disabled by those interests from imposing and enforcing the very limited restriction emerging from this record. Much greater limitations upon the civil freedoms of public employees generally have heretofore been sustained. *See United Public Workers v. Mitchell*, 330 U.S. 75 (1947).

Affirmed.

BAZELON, *Chief Judge*, dissenting: Several aspects of this case trouble me. First, if *Williams v. Zuckert*[1] is properly read, there is a substantial question whether the foreign students were sufficiently under the Air Force's control that it was required, on demand, to make at least a good-faith effort to produce them for the Civil Service Commission hearing. I would consequently remand to the District Court for a determination of that question. Second, my reading of the record convinces me that appellant's arguments to the Civil Service Commission were sufficient to raise his claims (a) that the standard proscribing his conduct was unconstitutionally vague, and (b) that the Commission did not fully consider whether appellant's statements constituted sufficient grounds for discharge.

Williams v. Zuckert involved a regulation substantially similar to the one involved in this case.[2] The regulation was construed to mean that "the party desiring the presence of witnesses, either for direct examination or cross-examination [has] the *initial burden* of producing them."[3] But if that party has

> either discharged his initial burden under the applicable regulations by making timely and sufficient

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[1] *Certiorari dismissed*, 371 U.S. 531, *rehearing granted and case remanded*, 372 U.S. 765 (1963).

[2] The regulation in *Williams* provided:

> The Commission is not authorized to subpoena witnesses. The employee and his designated representative, and the employing agency, must make their own arrangements for the appearance of witnesses.

371 U.S. at 532 n.3.

The regulation in the present case provides:

> Both parties are entitled to produce witnesses but as the Commission is not authorized to subpoena witnesses the parties are required to make their own arrangements for the appearance of witnesses.

5 C.F.R. § 772.305(c)(1) (1969).

[3] 371 U.S. at 532 (emphasis added).

> attempt to obtain their presence or, under the circumstances and without fault of his own, was justified in failing to make such an attempt,[4]

then the Agency, on demand, is required to make at least a good-faith effort to produce them.[5] It is by no means clear to me that appellant's repeated demands on the Air Force were not sufficient "attempt to obtain [the students'] presence;"[6] but even if they were not, appellant may well have been "justified in failing to make such an attempt." The Civil Service Commission's investigator was able to contact only one of the ten students directly, and could persuade none of them to make affidavits in the matter.[7] Appellant's attorney could well have concluded, as he argued to the Commission,[8] that if the Commission could

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[4] 372 U.S. at 765.

[5] In *Williams*, the desired witnesses were employees of the Air Force, and the Court assumed that the Air Force had the power to compel their attendance. *See* 372 U.S. at 765. Here, the students were admittedly not "employees," but appellant has raised a substantial claim that they were significantly under the Air Force's control. I do not think that the Air Force's responsibility should turn upon questions of ultimate power; rather, the standard should be similar to that set in Barber v. Page, 390 U.S. 719 (1968), so that the Air Force would be required to make a good-faith effort to produce the students, even if it could not compel their attendance should they refuse.

[6] In its original opinion in *Williams*, the Supreme Court dismissed certiorari because

> neither petitioner nor his counsel made any request, prior to the hearing, *of the Air Force*, of the Commission or its examiner, or of the witnesses themselves, for their appearance . . . .

371 U.S. at 532 (emphasis added). Unless the italicized language is to be regarded as surplusage, it must be assumed that at least in some circumstances a demand only on the employing agency is sufficient to meet the "initial burden" of production. *Cf.* 5 C.F.R. § 772.305 (c)(2) (1969), adopted 6 days after the hearing in this case. 31 Fed. Reg. 6569 (May 3, 1966).

[7] The examiner's lack of success is detailed in note 3 of the majority opinion.

[8] See App. 167.

not persuade the students to testify, appellant alone would have little chance of success. If this is so, appellant has met his initial burden. Consequently, if the students were significantly under the Air Force's control, it was required to make a good-faith attempt to produce them for the hearing. Since the record is deficient as to the precise status of the foreign students, I would remand for a determination of that question.[9]

Furthermore, I cannot agree that appellant's arguments at the administrative level foreclose him from raising here the questions of vagueness and whether his conduct constituted sufficient grounds for removal. The question of vagueness was strenuously urged before the Appeals Examiner[10] and before the Board of Appeals and Review.[11] Likewise, he continuously urged that his conduct would not constitute sufficient grounds for removal even if proved.[12]

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[9]Although it is unquestioned that the students were not under the "military jurisdiction" of the Air Force, that should not be the relevant standard. See note 5 *supra*.

[10]Appellant's counsel argued to the Appeals Examiner:

> We would wish to make it abundantly clear, however, that we are not taking the position that the Agency does not have the right to muzzle its employees. We do feel, however, that *the regulating muzzle must be clear, concise, crisp and definitive, and not wispy and ethereal and subject to whatever interpretation may suit the whim of a particular administrator.*

App. 116 (emphasis added).

[11]Appellant's counsel argued to the Board of Appeals and Review that it would be impossible to write a regulation prescribing appellant's conduct because

> it would be impossible to define "controversial subjects" and it would be impossible to lay down any specific guidelines for instructors to follow . . . . As a result we have nothing but "policy" which is ill-defined and ethereal . . . .

App. 165.

[12]Appellant's counsel argued to the Appeals Examiner: "Even if the charges were true (which we emphatically and vigorously deny), is this legal grounds for removal?" App. 107.

[Continued]

Since the majority affirms the District Court without deciding appellant's claims of vagueness and insufficient cause for removal, I refrain from discussion of these issues.

He argued to the Board of Appeals and Review: "Even assuming for the sake of discussion that [Mr. Goldwasser] made these remarks (which he vigorously denies), . . . what specifically has Mr. Goldwasser done?" App. 166.

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373-893—70

In the Supreme Court of the United States

OCTOBER TERM, 1969

No. 955

DAVID GOLDWASSER, PETITIONER

v.

ROBERT C. SEAMANS, JR.,
SECRETARY OF THE AIR FORCE, ET AL.

ON PETITION FOR WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

BRIEF FOR THE RESPONDENTS IN OPPOSITION

OPINIONS BELOW

There was no opinion of the United States District Court for the District of Columbia. The opinion of the court of appeals (Pet. A1–A17) has not yet been officially reported.

JURISDICTION

The judgment of the court of appeals was entered on September 17, 1969. The petition for a writ of certiorari was filed on December 15, 1969. The jurisdiction of this Court is invoked under 28 U.S.C. 1254(1).

QUESTIONS PRESENTED

1. Whether the government erred in the conduct of a government employee's discharge hearing before the Civil Service Commission by not compelling visiting foreign military officers to appear as witnesses on behalf of petitioner, where neither petitioner nor his counsel made any effort of their own to arrange for the officers' attendance.

2. Whether the First Amendment permits the discharge of a civilian language instructor, employed by the Air Force to teach English to visiting foreign military officers, on the ground that the instructor, in contravention of prior orders and warnings from his superior, deviated from his lesson plans to discuss matters of religion and politics.

STATUTE AND REGULATION INVOLVED

Lloyd-LaFollette Act, section 6(a), 37 Stat. 555, as amended, formerly 5 U.S.C. 652(a), currently 5 U.S.C. (Supp. IV) 7501, as in effect in all times relevant to this litigation:

> 5 U.S.C. 652. REMOVAL WITHOUT PAY FROM CLASSIFIED CIVIL SERVICE
>
> (a) *Only for cause; notice; copy of charges; time to answer; examination; record; persons exempt.*
>
> No person in the classified civil service of the United States shall be removed or suspended without pay therefrom except for such cause as will promote the efficiency of such service and for reasons given in writing. Any

person whose removal or suspension without pay is sought shall (1) have notice of the same and of any charges preferred against him; (2) be furnished with a copy of such charges; (3) be allowed a reasonable time for filing a written answer to such charges, with affidavits; and (4) be furnished at the earliest practicable date with a written decision on such answer. No examination of witnesses nor any trial or hearing shall be required except in the discretion of the officer or employee directing the removal or suspension without pay. Copies of the charges, the notice of hearing, the answer, the reasons for removal or suspension without pay, and the order of removal or suspension without pay shall be made a part of the records of the proper department or agency, as shall also the reasons for reduction in grade or compensation; and copies of the same shall be furnished, upon request, to the person affected and to the Civil Service Commission. This subsection shall apply to a person within the purview of section 863 of this title, only if he so elects.

5 C.F.R. (1964) 772.305, as in effect at all times relevant to this litigation:

(c) *Hearing procedures.* (1). An appellant is entitled to appear at the hearing on his appeal personally or through or accompanied by his representative. The agency is also entitled to participate in the hearing. Both parties are entitled to produce witnesses but as the Commission is not authorized to subpena witnesses the parties are required to make their own arrangements for the appearance of witnesses.

STATEMENT

Petitioner was an English language instructor employed by the Air Force at Lackland Air Force Base, Texas, to teach English to visiting foreign military officers (JA 68, 69).[1] As a matter of policy, the Air Force considered the discussion of controversial subjects in the classroom by the instructors to be prejudicial to the best interests of the government. Prior to the incidents leading to petitioner's discharge, he had been officially admonished by his superior, on at least three separate occasions, for deviating from his lesson plans to discuss controversial matters relating to religion and politics (JA 96).[2] Thus, petitioner was

[1] "JA" refers to the Joint Appendix in the court below, a copy of which has been filed with this Court.

[2] Petitioner's employment folder contained the following reports by his superior (JA 96):

> 1. 6 December, 1960. Talked with Mr. Goldwasser on his deviating from the lesson. He was talking about the "Watchtower" (that he had found) and their religion. How they were imprisoned during the last war. He explained to me that the students asked about the magazine "Watchtower". I cautioned him about controversial material in the classroom.
>
> 2. 14 March, 1961. I admonished Mr. Goldwasser for discussing politics in class. He was discussing the negro problem in the South. He had taught some songs in class from Porgy and Bess. He said he was explaining these to the class. Employee was reminded of singing in class and controversial matters again.
>
> 3. 17 November, 1963. Mr. Goldwasser has been in a heated argument with a large class of Cuban students. He showed them a newspaper clipping of Castro holding a baby, and another picture of an American GI with a gun aimed on a Cuban woman in a ditch. The students were

fully aware of the Air Force policy against discussing controversial matters; indeed, he testified during his discharge hearing that "common sense dictated instructors should avoid controversial issues or matter * * *" (JA 128, 106).

In the face of this Air Force policy and the prior admonitions, petitioner was charged with having discussed controversial matters of religion and politics during classes in November and December, 1965, when the lesson plans were "At the Dentist" and "How to Test a Used Car" (JA 127). Specifically, petitioner's notice of dismissal charged that on November 23, 1965, he had discussed anti-Semitism and expounded upon discrimination against Jews, including anti-Semitism experienced by him at the Lackland Air Force Base (JA 60). The notice also charged petitioner with originating a discussion during class on December 2, 1965, about persons who burn themselves to death as a protest against the war in Vietnam, stating that such persons are the "real American heroes" and that he wished he "had enough 'guts' to do the same thing against the present Administration's policies in the war in Vietnam" (JA 61).

Based upon these two separate incidents, petitioner was discharged by the Air Force (JA 61–64). Petitioner appealed his discharge to the Civil Service

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very angry with him and Mr. Garcia and I had to calm the students.

4. 20 September, 1964. Discussed Item I. Changed to a 4 from a 5 due to Mr. Goldwasser deviating from lesson plans and singing again on 14 September, and always discussing controversial matters.

Commission, Dallas Region, where a *de novo* hearing was held (JA 69, 120–130). At the hearing, the government submitted two affidavits from petitioner's supervisor, as well as affidavits from four fellow employees, all to the effect that petitioner made the statements as charged (JA 86–92). Petitioner's defense at this hearing was that he did not make these statements (JA 108, 127). Nevertheless, petitioner did not seek to impeach the affidavits by personally attempting, or by requesting the Air Force, to produce the affiants as witnesses for cross-examination. Thus, the only testimony contrary to the evidence in the affidavits was that of petitioner himself, and testimony of character witnesses (JA 121–125). Petitioner did not assert as a defense in this hearing that he was unaware of the Air Force policy against making controversial statements in the classroom or that he was confused as to the specifics of this policy. Petitioner, through his counsel, made the following concession at the hearing:

> * * * We will have to concede initially that, just as a matter of good common horse sense, instructors in his position teaching foreign students should do their utmost to avoid controversial subjects, and there is no question but that he had been counseled to avoid controversial subjects. * * * [JA 106.]

In support of his own testimony that he did not make the statements as charged, petitioner did seek to have the visiting foreign officers to whom the statements were allegedly made, attend the hearing. In this regard, petitioner, by letters from his counsel, re-

quested that certain named students should be produced by the government at the hearing (JA 61–63, 65–66). In response to that request, petitioner was advised that the government had no power to compel the attendance of the students, for the visiting foreign officers were guests of the United States Government, not under the military jurisdiction of the United States Air Force, and therefore the government could not compel their attendance at his hearing (JA 66, 129). The petitioner was further advised, however, that he was free to contact the students directly to solicit their voluntary attendance at the hearing. To aid petitioner, the letter listed the names and addresses of the appropriate liaison officers of the respective national groups who should be contacted to solicit the cooperation of petitioner's students (JA 66–67). It is undisputed that neither petitioner nor his counsel personally made any effort to contact these students so as to arrange to have them participate in the hearing. Accordingly, none of the foreign officers taught by petitioner testified at the hearing.

The Civil Service Commission, however, did dispatch an investigator to take statements from any students voluntarily wishing to give them (JA 95). The students taught by petitioner were from Iran, Greece and Japan. The investigator met with the liaison officers from the respective groups. He explained to them the purpose of the investigation and that the students were the only persons available to substantiate the contentions of the petitioner so that any information they could supply would be vital. *Id.*

They were further advised that any testimony voluntarily given would be greatly appreciated, but that the students would not be subject to a subpoena to compel their appearance and testimony. The liaison officers indicated they were not in favor of getting their students involved in this matter but did agree to contact the respective students to ascertain whether they wished to give testimony or make an affidavit. *Id.* Only one student gave a statement to the investigator.[3] He merely stated, in an unsworn statement, that he had "no recollection" of petitioner making remarks about discrimination against Jews or about persons committing suicide by burning themselves. *Id.*

In resolving the conflict of evidence with regard to whether petitioner in fact made the statements, the hearing examiner found the record fully supported the Air Force's charges, *i.e.*, that he discussed controversial subjects with foreign students, contrary to the Air Force policy after that policy had been repeatedly explained to him (JA 151–160). Accordingly, the discharge was upheld. This decision was affirmed on administrative appeal by the Civil Service Commission's Board of Appeals and Review and the Commission itself determined not to reopen and consider the matter (JA 183–186, 192).

[3] After the completion of the discharge hearing, but before the decision was rendered, petitioner submitted an informal statement by another student to the effect that he did not hear petitioner make the statements as charged (JA 147–149). Apparently this statement was obtained by petitioner after he, by chance, met the student at a theater. The examiner considered this statement along with the rest of the evidence (JA 158).

Petitioner then commenced the present action in the United States District Court for the District of Columbia to review the administrative action upholding the discharge (JA 5–9). The district court affirmed petitioner's discharge (JA 41–42), and the court of appeals, one judge dissenting, in turn affirmed the action of the district court (Pet. A1–A17). The court of appeals rejected petitioner's contention that procedural error was committed by the Commission's failure to compel the attendance of the visiting foreign officers at the hearing. The court noted that under the applicable regulations at that time, 5 C.F.R. 772.305(c), the Commission was without subpoena power. The court acknowledged, however, that under the principles enunciated by this Court in *Williams* v. *Zuckert*, 371 U.S. 531, rehearing granted and case remanded for further proceedings, 372 U.S. 765, circumstances may arise under which the government must compel the attendance of employees at discharge hearings. However, the court found it unnecessary to determine the precise relationship of the foreign visiting officers to the Air Force so as to ascertain whether the government, in fact, had the authority to compel their attendance. Even assuming the government could have compelled the attendance, the court noted that petitioner failed to make any effort himself to obtain the presence of the foreign students—a necessary prerequisite to requiring the government to produce these students.

Similarly, the court found petitioner's contention that his discharge violated his First Amendment right

of free speech to be without merit, concluding that in light of "the uniqueness of appellant's teaching function", *i.e.*, an Air Force language instructor given specifically detailed lesson plans to teach foreign military officers, a deviation from these lesson plans to discuss controversial matters of politics and religion, in the face of prior warnings, could constitutionally provide a basis for discharge (Pet. A13).

ARGUMENT

The court below correctly applied the governing principles and further review by this Court is not warranted.

1. In *Williams* v. *Zuckert*, 371 U.S. 531, 372 U.S. 765, this Court held that under certain circumstances the government must produce its employees as witnesses at a discharge hearing. However, the initial burden of producing these employees was placed upon the discharged employee. Absent any good faith attempt on the part of the discharged employee to obtain, through his own efforts, the presence of the government employees, the government has no duty under *Williams* v. *Zuckert* to produce these employees. In the present case, it is far from clear that the visiting foreign officers sought as witnesses were persons under the control of the government that it might be compelled to produce under *Williams* v. *Zuckert*. In any event, what is clear on this record, and what the court below found, is that the petitioner failed to sustain his initial burden of seeking to ob-

tain himself the presence of the visiting officers.[4] Thus, the court quite properly found no procedural error in the conduct of the discharge hearing.

2. Similarly, petitioner's free speech claim under the First Amendment was properly rejected by the court below on the basis of *Pickering* v. *Board of Education,* 391 U.S. 563. Petitioner conceded at the Civil Service Commission hearing that he was fully aware of the Air Force policy against discussion of controversial subjects and was not confused by that policy. The Civil Service Commission found that petitioner made the statements as charged; this finding was upheld by the courts below and is not challenged here. Thus, the only remaining issue is whether petitioner may be discharged for making such statements. It is well settled that the free speech rights of a government employee are not absolute. See, *eg.*, *Pickering* v. *Board of Education, supra; United Public Workers* v. *Mitchell,* 330 U.S. 75; cf. *Schenck* v. *United States,* 249 U.S. 47, 52. In *Pickering,* this Court stated that the determination of whether a teacher could be constitutionally dismissed for free speech activities involved "a balance between the interests of the teacher, as a citizen, in commenting upon

[4] Nor is there any merit in the position that it would have been futile for petitioner to attemp to arrange for the presence of these officers. The record shows that petitioner was able to elecit a favorable statement, albeit informally, from a student that he met by chance, at a theater, after the hearing was held. This would seem to indicate that had petitioner approached this, and perhaps other students, prior to the hearing, such students might have been prevailed upon to voluntarily attend.

matters of public concern and the interest of the State, as an employer, in promoting the efficiency of the public services it performs through its employees". 391 U.S. at p. 568. The court below balanced petitioner's interest in expressing his personal views with regard to politics and religion against the interest of his employer, the Air Force, in maintaining a non-controversial, non-political, technical program of instruction in the English language for visiting foreign military officers. We submit that the First Amendment protections afforded instructors do not preclude dismissal for deviating from narrowly drawn lesson plans, in the face of prior warnings, to discuss political and other controversial matters with foreign military students. The court below weighed all these factors and correctly concluded the First Amendment did not bar petitioner's discharge.[5]

[5] The petition also noted that petitioner may be discharged only for reasons that " 'promote the efficiency' of the classified civil service." 5 U.S.C. (Supp. IV) 7501. Petitioner asserts as error the fact that there is no express administrative finding as to how petitioner's dismissal would so promote the efficiency of the service. The court below noted (Pet. A9) that the lack of such a finding is perhaps due to petitioner's failure to raise administratively the issue of whether his conduct had an adverse effect on the efficiency of service. At all events, however, it is sufficiently clear that the efficiency of the service is promoted by the discharge of an instructor, who, after prior warnings, deviates from his assigned lesson plans and discusses matters not permitted by Air Force official policy.

CONCLUSION

It is respectfully submitted that the petition for a writ of certiorari should be denied.

Respectfully submitted.

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IN THE

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ON PETITION FOR WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE DISTRICT OF COLUMBIA CIRCUIT

PETITIONER'S REPLY MEMORANDUM

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I.

The United States, in its opposing brief completely misstates petitioner's argument as to the nature of the government's obligation to produce the foreign military officer students as witnesses and also misreads this Court's decision in *Williams v. Zuckert, cert. dismissed,* 371 U.S. 531 (1963), *on rehearing,* 372 U.S. 765 (1963).

First, the government erroneously *and* repeatedly contends that petitioner seeks to require the government *to*

compel these witnesses to testify. (Res.Opp. 2,7,9,10)[1] At no time has petitioner taken such a position. Instead, he maintains that, where the witnesses were clearly under the influence of their military hosts, the government was obligated to "make at least a good faith effort to produce witnesses sufficiently under its control to affect their ability to be present at the hearing." (Pet. 8) In advance of the *de novo* hearing held before the Civil Service Commission's Regional Examiner, the government was well-aware that the liaison officers who were responsible for dealing with foreign officer-students were not in favor of them testifying and "had been instructed not to get involved in United States matters." (J.A. 95)[2] Neither the Air Force not the Civil Service Commission took a single step to dispel these impressions. Petitioner seeks only to establish that the government should have made it crystal clear that not only would the giving of testimony by these officers be consistent with their position as guests in the United States, but that that the Air Force would, in fact, welcome their participation to insure that petitioner received a fair hearing. Under the circumstances, the government had an obligation to take some active steps to encourage the witnesses to testify including inviting them to testify as requested by the petitioner.

Second, the government argues that the question of the government's obligation never arises because the petitioner did not attempt to contact the visiting officers directly,

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[1]The Brief for the Respondent in Opposition will be denoted as "Res.Opp."

[2]The government's reference to the Report of the Civil Service Commission investigation, indicated that the liaison officers were not in favor of their students testifying but would contact them. It omitted an essential sentence from that report, as follows:

> "They [the liaison officers] indicated they had been instructed not to get involved in United States' matters." (J.A. 95)

and, therefore, failed to discharge his initial responsibility to obtain their attendance placed upon him by this Court in *Williams v. Zuckert, supra.* This is a misreading of that case which requires only that the person seeking the testimony discharge his initial burden by:

> "making a timely and sufficient attempt to obtain their presence *or under the circumstances and without fault of his own,* [be] *justified in failing to make such an attempt.*" 372 U.S. at 765 (emphasis added)

After that, the obligation shifts to the government.

The record in this case indicates quite clearly that petitioner was justified in his belief that a direct effort on his part to secure the witnesses' attendance would have been futile. The facts outlined earlier, especially the reluctance of the liaison officers to have their fellow officers get involved and that they had been specifically instructed not to get involved, amply support this belief. Petitioner recognized this futility, and sought, instead, to obtain the presence of the witnesses by seeking the aid of the Air Force and the Civil Service Commission. The situation which made a direct effort appear futile existed without fault of petitioner and justified his failure to make that direct attempt. At the same time, petitioner did not just sit back and hope that the witnesses would appear. By repeatedly requesting the aid of the government, he took those steps which he thought would have the best chance of actually securing the witnesses' attendance.

II.

The government replies to petitioner's argument on the First Amendment by stating only that the petitioner was aware of the policy proscribing "controversial" statements and that the government had correctly balanced petitioner's interest in expressing his personal views against the interests of the Air Force. Respondent relies heavily on the fact that petitioner had been warned previously about discussing

"controversial" subjects to establish that the petitioner was aware of the policy and knew how he was expected to behave.[3] The prior warnings, however, in no way cured the vagueness of the prohibition. Even if petitioner had been clearly aware of such a policy, the warnings he received would not have given him any greater idea of the scope of activities which were to be considered as falling within their policy. There was no way to know whether a particular

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[3]The government, in the face of Air Force Regulation 40-712, sets out *in haec verba*, four alleged prior warnings in footnote 2, page 4. This regulation provides:

> "The Air Force shall not *consider* in any disciplinary action, or any action of dismissal, any charges made more than three years preceding the intended dismissal." (Emphasis added)

Two of these incidents occured more than three years prior to the initiation of the removal action against petitioner. Here, the government, by relying on the theory that the prior warnings gave notice of a policy against discussing "controversial" subjects, as it has at every level in this case, manages to refer repeatedly to them in its brief in opposition. (Res.Opp. 5)

If the Air Force regulation is to have any force and effect, it should bar out-of-time incidents from being brought up at any stage of the proceedings for any purpose, lest the employee be penalized because of "bad character" and not for specific acts for which discharge is authorized. Regulations are meant to be followed. *Vitarelli v. Seaton*, 359 U.S. 35 (1959); *Service v. Dulles*, 354 U.S. 369 (1957).

However, these prior incidents do highlight a number of aspects of this case:

(1) There was a continuing pattern of hostility toward the petitioner by his superior;

(2) No regulation or policy is referred to prohibiting singing in class, yet that is a partial basis for two of the admonishments. (Would singing in class really be grounds for dismissal as "conduct prejudicial to the interests of the United States?")

(3) The vagueness of the policy against discussing controversial matters is *nowhere* defined and includes "always discussing (unstated) controversial matters" and even answering questions about the magazine "Watchtower."

subject, a certain view point about a particular subject, or only particular views expressed in certain contexts would be considered controversial.[4] In addition to these defects in the government's dismissal of petitioner's First Amendment argument, nowhere does the government deny petitioner's assertion that the question is sufficiently important for the Supreme Court to decide. It argues only that its view of the way in which the balancing process was resolved is the right one.

Petitioner disagrees. There is grave doubt, for example, whether the petitioner would have been dismissed for discussing the Vietnam war in a way *favorable* to the official United States government position. Whether the government can continue to restrict First Amendment freedoms in this fashion is an important question which the Supreme Court should decide.

Respectfully submitted,

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[4] Respondent's argument that petitioner's dismissal for these acts promoted "the efficiency of the service" is greatly undermined by the fact that the Air Force, shortly after the fourth of these earlier warnings had been administered, awarded petitioner a Master Instructor's Certificate, in recognition of his "outstanding achievement of professional standards while instructing the Air Training Command." (J.A. 131)